



Sponsored Programs

...making a difference

the OSP simplified mission



Sponsored Programs assists faculty, staff and students in seeking and securing partnerships to support the mission of the university.

OSP serves our writers from inspiration to success...

defining a “sponsored program”



A sponsored program is a Troy University activity that receives funding or support from an outside organization. Sponsored programs consists of funded projects covering a range of activities including research, teaching, training and services.

The project may be supported by a number of different sponsors, such as federal agencies, state agencies, private foundations, corporate or industry, or non-profit organizations. Sponsored project activities may be identified as a grant, contract, sub-grant/subcontract/sub-award, cooperative agreement, memorandum of understanding, fellowship, grant-in-aid, etc.

The overall indicator that an activity is a sponsored project is that the sponsor provides support for a specific activity, and the activity delivers something back to the sponsor.

partnership definitions



Donations/Gifts

Donations and/or gifts are managed by Development

Competitive Partnerships

Competitive Partnerships are defined as partnerships (grants and/or contracts) that require applicants, through an open Request for Proposal (RFP), to submit applications or proposals to obtain funding. Not all submitted proposals are selected in a competitive process.

Partnerships initially secured through a competitive process, then renewed based on project performance, are also included as competitive funding. These projects are asterisked in Sponsored Program detail reports.

Service Partnerships

Service partnerships are relational partnerships in which the university provides a specific service at the request of the partner. These partnerships are not open to other service providers through an open Request for Proposal (RFP).

a formula that works



successful
projects =

sponsor
confidence =

sponsor
reinvestment

successful
project
managers =

enthusiastic
faculty and
staff writers =

more
proposals,
more partner
dollars

OSP – a three fold service model



Sponsor Research
Finding the Money



Proposal Development
Developing the Proposal



Project Management
Managing Success





finding the right partner – building a funding strategy

- Tailored **Notifications of Funding Opportunities** (building TROY's external funding strategy)
 - [Online GrantSearch](#) (call OSP at x13102 for login and password)
- Funding to support **online faculty training** (examples of prior webinars and webcasts)
 - Reeve Foundation - Transitioning to College for Students with Paralysis
 - AASCU Postsecondary Data Partnership in Times of Uncertainty
 - Create a Culture of Cross-Campus Open Dialogue
 - Closing the Loop in an Online Learning Environment: Assessment Process Challenges and Solutions
- OSP support for **travel to grant writing workshops** (requires Dean approval)
- Team building – **building inter-disciplinary/cross-disciplinary teams** to ensure TROY's best talent is represented in proposal partnerships
- Support for **grantwriters** for partnerships identified as significant partnerships



a partner is selected
the partner deadline for
accepting materials is established



- Writers must **secure approval from the Chair/Dean** to proceed
- If approved to move forward, **writers must seek clearance from OSP** prior to developing the proposal. (OSP coordinates partner submissions to ensure that multiple proposals are not submitted to a partner that will only consider one application.)
- OSP provides support to the writer in developing the proposal. Resources available at www.troy.edu/osp



- [Common Proposal Information](#)
- [Budget Information](#)
 - [Personnel](#)
 - [Supplies and Equipment](#)
 - [Travel](#)
 - [Indirect Cost](#)



university policy for approval of partner proposals



When anyone at Troy University - administrators, faculty, staff, or students - prepares grant and contract proposals for external funding, they are acting on behalf of the University. For that reason, **all such proposals must pass through a review and submission process established by TROY.**

Dr. Jack Hawkins, Jr., Ph.D., Chancellor serves as the Authorized Organization Representative

(AOR) for Troy University. Dr. Hawkins is the only authorized official to enter Troy University into partnerships with external funding agencies. *All proposals and all subsequent revisions, adjustments, and changes of any previously approved grant or contract must be approved by the Chancellor through the review process as described here: (<https://www.troy.edu/about-us/offices-departments/sponsored-programs/writing-great-proposals/administrative-review-approval.html>)*

the transmittal package



The routing of the transmittal package prior to the **submission of materials to the partner** provides for both the university and the grant writer/grant writing team a process to document “awareness” and “support” for the commitments required to secure the partnership.

It is the expectation that the supervisory line for each grant writer has reviewed the completeness of the partnership and package to ensure that all commitments have been disclosed.

Signature on the package affirms to the SVC and Chancellor that the university is positioned to fulfill the promise as presented in the partner proposal.



compensation/course load buyouts



Partner support of time spent on sponsored partnerships can be requested in either **a buyout of time or compensation for duties**. Approval of partner supported time requests must be pre-approved by the supervisory line through the Chancellor **prior** to the submission of materials.

- Course Load Reduction
- Definition of Extra Compensation

When a full-time university employee receives compensation which exceeds the assigned base salary, and when that compensation is offered in exchange for the performance of duties not legitimately required within the scope of the primary contract, this additional payment is defined as extra compensation. Extra compensation begins when the payment received for any one month exceeds the faculty or staff member's monthly base salary.

Approval and Determination of Rate of Compensation

Extra compensation on externally sponsored projects may be permitted if it is in accordance with the sponsor's rules and regulations. The rate of extra compensation shall be determined by the sponsor guidance with approval by the appropriate Director/Chair, Dean, Senior Vice Chancellor and Chancellor.

Any requests for extra compensation should be thoroughly explained and documented on the Transmittal Form in accordance with university policy regarding proposal development review and approval.

compliance



When submitting a proposal or accepting an award, you as the investigator as well as TROY (as the fiscal agent), are committing to a number of assurances, certifications, terms, and conditions. It is very important that the investigator understand compliance before submission and before an award is made. Compliance requirements and access to compliance training are provided at the OSP website linked below.



[Troy University](#)
[Compliance](#)

indirect cost



Indirect Costs, also known as Facilities and Administration Costs (F&A Costs), are defined as costs that have been incurred for common or joint objectives of TROY and the sponsored program, and which, therefore, cannot be identified specifically in reference to a particular project, such as building operations and maintenance, laboratory space, library service, utilities, and administrative services.

TROY Sponsored Program proposals are expected to **charge the maximum allowable indirect cost rate.**

The **allowable indirect cost rate is determined by the sponsor agency** and can be found in the application instructions.

If the partner **allows indirect cost but does not have a designated rate**, writers must charge a minimum of **14% of all direct costs.**

Waivers of allowable indirect cost can only be approved by the Senior Vice Chancellor.



Troy University
Indirect Cost
Policy

managing successful partnerships



Sponsor has positively affirmed success!

- The award document is forwarded to Sponsored Programs.
- Sponsored Programs works with Sponsored Program Accounting to establish university partner accounts.
- Financial management of the partnership is provided by Sponsored Program Accounting.
- Media, event and other project support is provided by Sponsored Programs upon request.

Remember!

Grant writing is an effort of perseverance and persistence.

A rejection notice is an opportunity in work boots!



- Acceptance of Award Documents
- Account Establishment
- Reporting Responsibilities & External Audits



other sponsored program services



- Create, maintain, and distribute OSP database and [reports](#)
- Strategic planning, Chancellor briefings, Dean briefings, faculty training
- Coordination, and in some instances, the maintenance and filing of assurances to ensure university eligibility for partner funding
- Establishment, in partnership with accounting, of all [partner accounts](#)
- Signatory oversight, in partnership with accounting, of all financial expenses on funded partnerships
- Shared responsibility with human resources for university policies and procedures connected with the hiring of sponsored program personnel
- Significant on site coordination of partner events (**stewardship of partners**)
- Shared responsibility with academics for faculty awards from the [Grant Writing Fund](#)
- Organic and changing responsibilities connected with changing partnerships



a few of our sponsors



[Alabama Department of Economic and Community Affairs](#)

[Alabama Commission on Higher Education](#)

[Alabama Department of Education](#)

[Alabama Department of Human Resources](#)

[Alabama Department of Mental Health](#)

[Alabama Department of Rehabilitation](#)

[Alabama Department of Transportation](#)

[Alabama Department of Youth Services](#)

[Alabama Forestry Commission](#)

[Alabama Press Association](#)

[Alabama State Council on the Arts](#)

[Tri Beta Research Committee](#)

[AmeriCorps](#)

[Corporation for Public Broadcasting](#)

[US Departments of Agriculture, Commerce, Education, Interior, Energy, Homeland Security, Justice, State, Forest Service, Health and Human Services](#)

[National Institute of Standards & Technology](#)

[Institute of Museum and Library Services](#)

[National Aeronautics & Space Administration](#)

[National Collegiate Athletic Association](#)

[National Science Foundation](#)

[Wiregrass Resource Conservation & Development](#)

[Troy Arts Council](#)

[Target Corporation](#)

[Library of Congress](#)

[W. K. Kellogg Foundation](#)

a few of our notable sponsor supported initiatives



[John W. Schmidt Center for Student Success](#)

[Rosa Parks Museum](#)

[Southeast Alabama Regional Inservice Center](#)

[Science in Motion](#)

[ACCESS](#)

[AMSTI](#)

[Interpreter Training](#)

[Speaker & Community Guest Lectures](#)

[International Student Scholarships](#)

[Center for Materials and Manufacturing Sciences](#)

[Archaeology Research Center](#)

[STARS](#)

[Helen Keller Lecture Series](#)

[Janice Hawkins Cultural Arts Park](#)

[Youth Leadership Programs](#)

[Arboretum](#)

[Broadcast & Digital Media Programing & Construction](#)

[Upward Bound, McNair, Student Support Services](#)

[Graduate Rehabilitation Scholarships](#)

[Campus Shuttle](#)

[Community Gardens](#)

[Pike County Groundwater Festival](#)

NOTABLE PARTNERSHIPS AND AWARDS OF DISTINCTION

capturing the national audience



**National Institute of Standards &
Technology**

**Center for Materials and
Manufacturing Sciences (CMMS)**

more than numbers...making a difference

recruiting the best and brightest students



Alabama State Council on the Arts
Trojan Art Day

Alabama Department of Education
Southeast Alabama Model United Nations

more than numbers...making a difference

building future leaders



ADRS
Youth Leadership Forum



USDOE
McNair



USDOE
Upward Bound



National Science Foundation
LSAMP STEM Camp



USDOD
BEST Robotics



USDOE
Student Support Services

improving our beautiful campuses



**Alabama Forestry Commission &
the Arbor Day Foundation**
Tree Campus (all Alabama
campuses)

**Choctawhatchee, Yellow & Pea
River Management Authority**
Janice Hawkins Cultural Arts Park

strong communities = strong universities



Baseball Tomorrow
Troy Parks & Recreation
Batting Cage Renovation



Troy Parks & Recreation
Miracle Playground



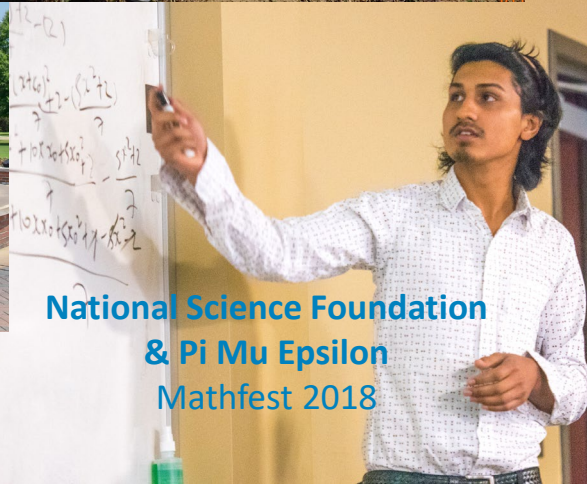
supporting our distinguished faculty and student researchers



Power South Energy Cooperative
Survivability of Mussels, Targeting
Federally Listed Species over a 14-week
Period Drawdown of Point A Reservoir



National Science Foundation
Louis Stokes Minority Alliance
Partnership



**National Science Foundation
& Pi Mu Epsilon
Mathfest 2018**



Alabama State Parks
Cultural Resource Survey of
Cathedral Caverns

promoting mindfulness in our communities



**Dollar General
Literacy Foundation
BRIDGE**



**Southeast Alabama Electric Company
Power Up with STEM**

**Achilles Kids
Troy Elementary
School: Half
Marathon**



promoting mindfulness in our communities



Community Gardens

partner supported scholarships



US Department of Education
Alabama Department of Education
US Department of State
Gulf of Mexico Research Initiative
International Research & Exchanges Board
Higher Committee for Education Development
Alabama Department of Mental Health
Saudi Arabia
US Department of Health and Human Services
National Institute of Standards and Technology
Alabama Department of Mental Health

Since 2013, Over \$12.5 million in scholarships were awarded to students through partner dollars.

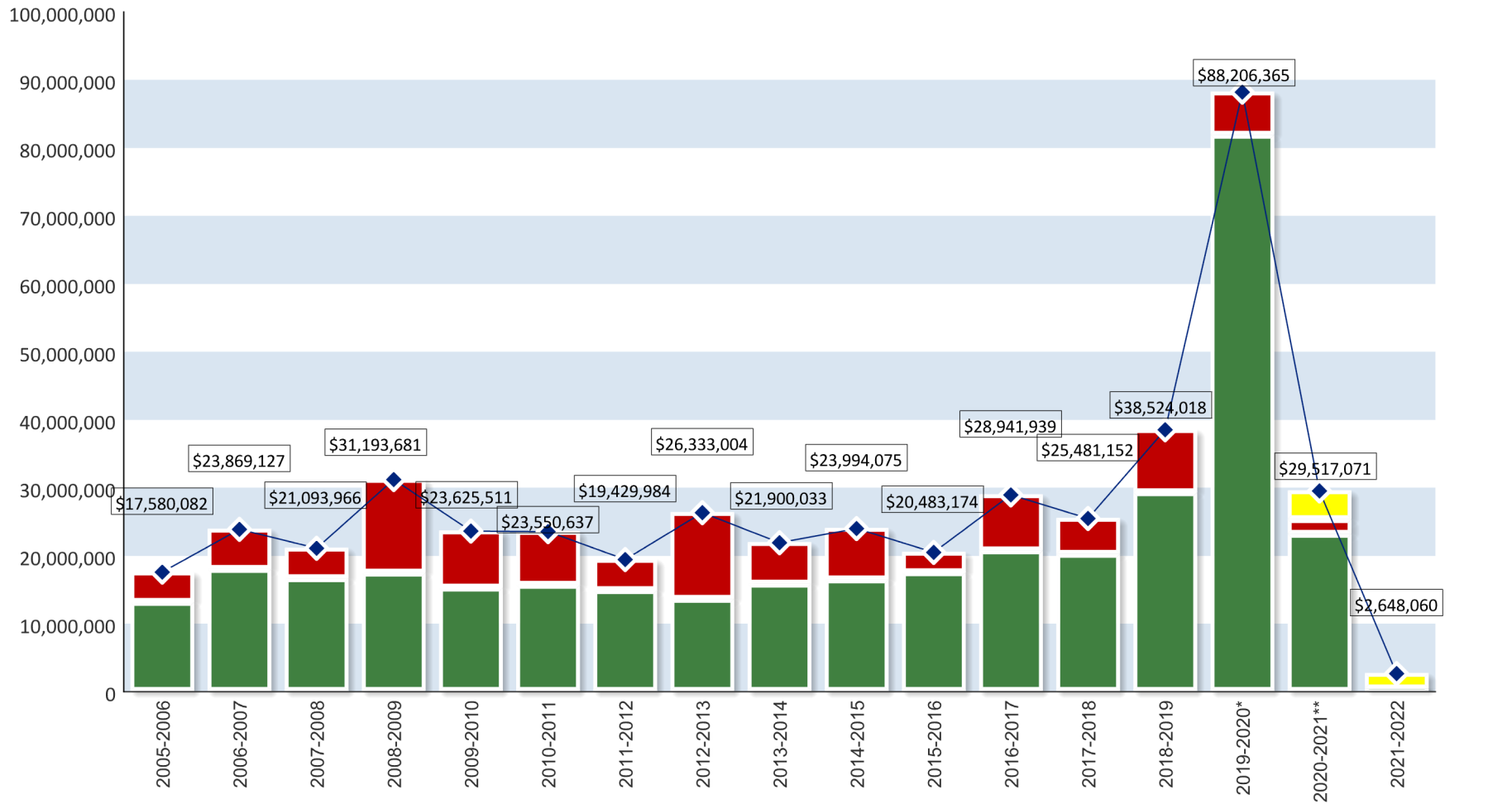
measuring success – the numbers



Sponsored Program Reports

- Comparison of dollars pursued (fy to fy)
 - The “dollars pursued” report is a snapshot of dollars pursued between two fixed dates (September 1– August 31) and may change daily.
 - The final comparative number of dollars pursued is not “final” until all partnerships have received partner notification (i.e. funded/not funded).
 - **Funded** partnerships (including multi-year partnerships) are recorded according to the budget period.
 - **Not Funded** partnerships are counted in the year that they are submitted
- Comparison of dollars secured (fy to fy)
- Comparison of indirect cost dollars secured (fy to fy)
- Detail Summary Reports

Sponsored Program Dollars Pursued (September 1 - August 31)



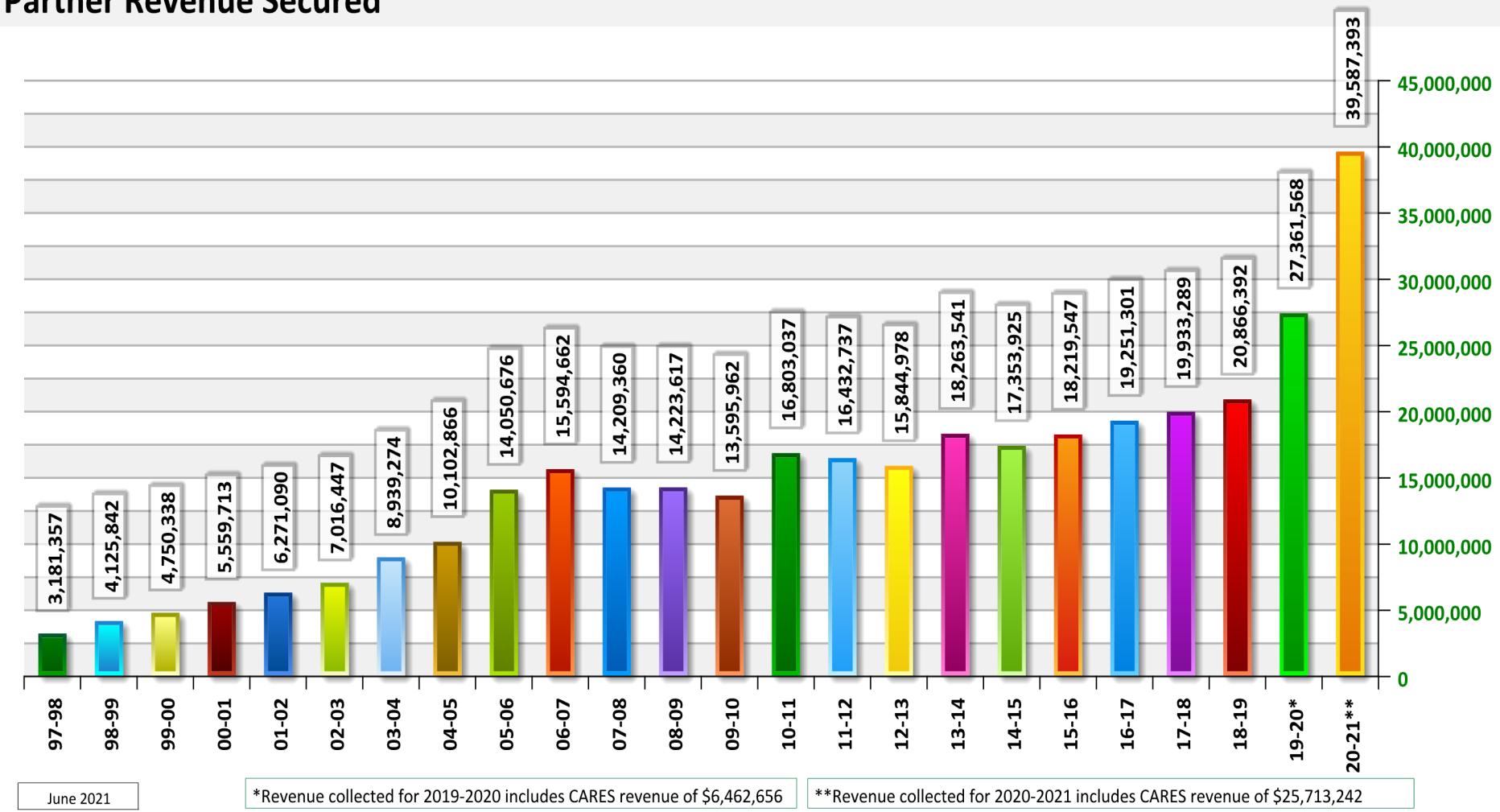
*2019-2020 data includes CARES monies pursued in the amount of \$52.6+ million (\$52+ million Funded / \$67,150 Not Funded)

**2020-2021 data includes CARES monies pursued in the amount of \$1+ million (\$136,886 Funded / \$915,270 Pending)

Funded Not Funded Pending Total Dollars Pursued

[click here for current data](#)

Partner Revenue Secured



[click here for current data](#)

*Data provided by Sponsored Program Accounting. This report provides a comparative graph of the amount of Sponsored Program Revenue collected.

Sponsored Program Celebration Luncheon

...celebrating faculty, staff and student initiative



...a premier signature event in which faculty and staff are commended for sponsored program initiatives



220+ recognized
Attendance by
senior
administrators &
external partners

celebrating faculty, staff and student initiative



Chancellor's Award of Distinction for Sponsored Programs Success

...an award given to celebrate and inspire excellence in sponsored program proposal success, an essential component to institutional excellence.

2020 Award of Distinction

Dr. Sharon Everhardt, Dr. Robert Vilardi (College of Arts and Sciences) **and Mr. Ray White** (Troy Montgomery)
U.S. Department of Education, Title III Strengthening Institutions
Creating a Central Environment to Support Student Success



2019 Award of Distinction

Dr. Alvin Diamond and Dr. Michael Woods
(College of Arts and Sciences)
National Science Foundation
Alabama's Biodiversity Heritage: Expansion and Promoting Access and Use of a Regionally Important Botanical Collection



2019 Award of Distinction

Dr. Ruth Busby and Dr. Cynthia Hicks
(College of Education)
US Department of Education
Child Care Access Means Parents in School (CCAMPIS)



the sponsored program team



Paula Carlson, Judy Fulmer, Brandy Bray

Thank you!



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www.troy.edu/osp